

FORM PTO-1449	U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	ATTY. DOCKET NO. MNFRAME.005A4	APPLICATION NO. 08/942,214
INFORMATION DISCLOSURE STATEMENT BY APPLICANT		APPLICANT Johnson et al.	
(USE SEVERAL SHEETS IF NECESSARY)		FILING DATE October 1, 1997	GROUP 2785

OFFICE OF THE PATENT & TRADEMARK OFFICE
JUN 18 1998

U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE (IF APPROPRIATE)
	5,123,017	06/16/92	Simpkins et al.	371	15.1	98 JUN 23 PM 1:17
	5,261,094	11/09/93	Everson et al.	395	600	98 JUN 23 PM 1:17
	5,272,584	12/21/93	Austruy et al.	361	58	98 JUN 23 PM 1:17
	5,337,413	08/09/94	Lui et al.	395	275	98 JUN 23 PM 1:17
	5,379,409	01/03/95	Ishikawa	395	575	98 JUN 23 PM 1:17
	5,485,607	01/16/96	Lomet et al.	395	600	98 JUN 23 PM 1:17
	5,564,024	10/08/96	Pemberton	395	283	98 JUN 23 PM 1:17
	5,604,873	02/18/97	Fite et al.	395	283	98 JUN 23 PM 1:17
	5,608,876	03/04/97	Cohen et al.	395	283	98 JUN 23 PM 1:17
	5,644,731	07/01/97	Lienres et al.	395	283	98 JUN 23 PM 1:17

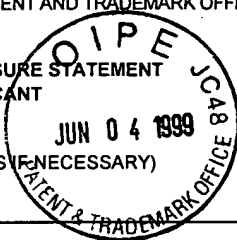
FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION
						YES NO

EXAMINER INITIAL	OTHER DOCUMENTS (INCLUDING AUTHOR, TITLE, DATE, PERTINENT PAGES, ETC.)
1	NetFRAME Systems Incorporated, <i>News Release</i> , 3 pages, referring to May 9, 1994, "NetFRAME's New High-Availability ClusterServer Systems Avoid Scheduled as well as Unscheduled Downtime."
2	NetFRAME Systems Incorporated, <i>datasheet</i> , 2 pages, February 1996, "NF450FT Network Mainframe."
3	NetFRAME Systems Incorporated, <i>datasheet</i> , 9 pages, March 1996, "NetFRAME Cluster Server 8000."
4	Herr, et al., <i>Linear Technology Magazine, Design Features</i> , pp. 21-23, June 1997, "Hot Swapping the PCI Bus."

EXAMINER	DATE CONSIDERED
*EXAMINER: INITIAL IF CITATION CONSIDERED, WHETHER OR NOT CITATION IS IN CONFORMANCE WITH MPEP 609; DRAW LINE THROUGH CITATION IF NOT IN CONFORMANCE AND NOT CONSIDERED, INCLUDE COPY OF THIS FORM WITH NEXT COMMUNICATION TO APPLICANT.	

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U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE (IF APPROPRIATE)
nw	A	4,057,847	11/08/77	Lowell et al.	364	200	
	B	4,672,535	06/09/87	Katzman et al.	364	200	
	C	4,769,764	09/06/88	Levanon	364	708	
	D	5,051,720	09/24/91	Kittirutsunetorn	340	310 R	
	E	5,157,663	10/20/92	Major et al.	371	9.1	
	F	5,253,348	10/12/93	Scalise	395	325	
	G	5,266,838	11/30/93	Gerner	307	19	
	H	5,432,946	07/11/95	Allard et al.	395	750	
	I	5,473,499	12/05/95	Weir	361	58	
	J	5,526,289	06/11/96	Dinh et al.	364	557	
	K	5,528,409	06/18/96	Cucci et al.	359	171	
	L	5,546,272	08/13/96	Moss et al.	361	687	
	M	5,559,764	09/24/96	Chen et al.	396	30	
	N	5,572,403	11/05/96	Mills	361	695	
	O	5,598,407	01/28/97	Bud et al.	370	330	
	P	5,621,159	04/15/97	Brown et al.	73	9	
	Q	5,622,221	04/22/97	Genga, Jr. et al.	165	208	
	R	5,652,892	07/29/97	Ugajin	395	750	
	S	5,671,371	09/23/97	Kondo et al.	395	306	
	T	5,737,708	04/07/98	Grob et al.	455	557	
	U	5,742,833	04/21/98	Dea et al.	395	750.05	
	V	5,752,164	05/12/98	Jones	455	33.1	
	W	5,796,580	08/18/98	Komatsu et al.	361	687	
	X	5,778,197	07/07/98	Dunham	395	284	
	Y	5,787,459	07/28/98	Stallmo et al.	711	112	
	Z	5,790,775	08/04/98	Marks et al.	395	182.07	
	A1	5,802,305	09/01/98	McKaughan et al.	395	200.57	
nw	B1	5,802,324	09/01/98	Wunderlich et al.	395	281	

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nw	C1	5,812,748	09/22/98	Ohran et al.	395	182.02	
	D1	5,821,596	10/13/98	Miu et al.	257	419	
	E1	5,835,719	11/10/98	Gibson et al.	395	200.51	
	F1	5,838,932	11/17/98	Alzien	395	308	
	G1	5,852,724	12/22/98	Glenn, II et al.	395	200.69	
	H1	5,875,310	02/23/99	Buckland et al.	395	306	
	I1	5,878,238	03/02/99	Gan et al.	395	308	
	J1	5,881,311	03/09/99	Woods	395	824	
	K1						
	L1						
	M1						
	N1						

EXAMINER INITIAL	OTHER DOCUMENTS (INCLUDING AUTHOR, TITLE, DATE, PERTINENT PAGES, ETC.)
nw	1 Shanley and Anderson, PCI System Architecture, Third Edition , Chapters 15 & 16, pp. 297-328, CR 1995.
	2 PCI Hot-Plug Specification, Preliminary Revision for Review Only, Revision 0.9, pp. i-vi, and 1-25, March 5, 1997.
	3 SES SCSI-3 Enclosure Services, X3T10/Project 1212-D/Rev 8a, pp. i, iii-x, 1-76, and I-1 (index), January 16, 1997.
	4 Compaq Computer Corporation, Technology Brief, pp. 1-13, December 1996, "Where Do I Plug the Cable? Solving the Logical-Physical Slot Numbering Problem."

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EXAMINER	DATE CONSIDERED 8/20/99
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APPLICANT
Johnson, et al.FILING DATE
10/01/97GROUP
2785

U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE (IF APPROPRIATE)
hw	1	4,692,918	09/08/87	Elliott et al.	370	85	
	2	5,033,048	07/16/91	Pierce et al.	371	21.2	
	3	5,121,500	06/09/92	Arlington et al.	395	750	
	4	5,136,708	08/04/92	Lapoutre et al.	395	650	
	5	5,138,619	08/11/92	Fasang et al.	371	21.1	
	6	5,245,615	09/14/93	Treu	371	16.5	
	7	5,276,863	01/04/94	Heider	395	575	
	8	5,280,621	01/18/94	Barnes et al.	395	800	
	9	5,388,267	02/07/95	Chan et al.	395	700	
	10	5,432,715	07/11/95	Shigematsu et al.	364	551.01	
	11	5,438,678	08/01/95	Smith	395	750	
	12	5,463,766	10/31/95	Schieve et al.	395	650	
	13	5,485,550	01/16/96	Dalton	395	51	
	14	5,513,314	04/30/96	Kandasamy et al.	395	182.04	
	15	5,535,326	07/09/96	Baskey et al.	395	182.02	
	16	5,548,712	08/20/96	Larson et al.	395	182.05	
	17	5,566,339	10/15/96	Perholtz et al.	395	750	
	18	5,579,487	11/26/96	Meyerson et al.	395	280	
	19	5,584,030	12/10/96	Husak et al.	395	750	
	20	5,596,711	01/21/97	Burckhardt et al.	395	182.21	
	21	5,625,238	04/29/97	Ady et al.	307	147	
	22	5,627,962	05/06/97	Goodrum et al.	395	182.11	
	23	5,630,076	05/13/97	Saulpaugh et al.	395	284	
	24	5,631,847	05/20/97	Kikinis	364	514 R	
	25	5,652,839	07/29/97	Giorgio et al.	395	200.11	
	26	5,652,908	07/29/97	Douglas et al.	395	800	
	27	5,655,083	08/05/97	Bagley	395	182.31	
hw	28	5,664,118	09/02/97	Nishigaki et al.	395	283	

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U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE (IF APPROPRIATE)
NW	29	5,668,992	09/16/97	Hammer et al.	395	651	
	30	5,669,009	09/16/97	Buktenica et al.	395	800.35	
	31	5,715,456	02/03/98	Bennett et al.	395	652	02/13/95
	32	5,727,207	03/10/98	Gates et al.	395	651	09/07/94
	33	5,732,266	03/24/98	Moore et al.	395	651	09/02/94
	34	5,758,165	05/26/98	Shuff	395	712	07/07/95
	35	5,761,085	06/02/98	Giorgio	364	505	11/12/96
	36	5,777,897	07/07/98	Giorgio	364	557	11/26/96
	37	5,781,744	07/14/98	Johnson et al.	395	283	08/25/95
	38	5,784,555	07/21/98	Stone	395	200.5	04/18/96
	39	5,787,019	07/28/98	Knight et al.	364	550	05/10/96
	40	5,787,491	07/28/98	Merkin et al.	711	173	01/26/96
	41	5,797,023	08/18/98	Berman et al.	395	750.06	07/10/97
	42	5,802,298	09/01/98	Imai et al.	395	200.47	08/26/96
	43	5,802,592	09/01/98	Chess et al.	711	164	05/31/96
	44	5,809,287	09/15/98	Stupek, Jr. et al.	395	500	12/17/96
	45	5,809,311	09/15/98	Jones	395	750.01	06/14/96
	46	5,815,647	09/29/98	Buckland et al.	395	182.01	05/23/97
	47	5,852,724	12/22/98	Glenn, II et al.	395	200.69	06/18/96
	48	5,857,102	01/05/99	McChesney et al.	395	653	03/14/95
	49	5,875,307	02/23/99	Ma et al.	395	281	08/14/97
	50	5,884,027	03/16/99	Garbus et al.	395	200.8	06/05/97
	51	5,889,965	03/30/99	Wallach et al.	395	283	10/01/97
	52	5,892,928	04/06/99	Wallach et al.	395	283	10/01/97
	53	5,898,888	04/27/99	Guthrie et al.	395	308	12/13/96
	54	5,905,867	05/18/99	Giorgio	395	200.54	11/12/96

EXAMINER <i>07.4</i>	DATE CONSIDERED <i>8/31/99</i>
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EXAMINER INITIAL	OTHER DOCUMENTS (INCLUDING AUTHORITY, TITLE, DATE, PERTINENT PAGES, ETC.)
rw	55 Davis, T, Usenet post to alt.msos.programmer, April 1997, "Re: How do I create an FDISK batch file?"
	56 Davis, T., Usenet post to alt.msos.batch, April 1997, "Re: Need help with automating FDISK and FORMAT . . ."
	57 NetFrame Systems Incorporated, Doc. No. 78-1000226-01, pp. 1-2, 5-8, 359-404, and 471-512, April 1996, "NetFrame Clustered Multiprocessing Software: NW0496 DC-ROM for Novel® NetWare® 4.1 SMP, 4.1, and 3.12."
	58 Shanley, and Anderson, PCI System Architecture, Third Edition, Chapter 15, pp. 297-302, Copyright 1995, "Intro To Configuration Address Space."
	59 Shanley, and Anderson, PCI System Architecture, Third Edition, Chapter 16, pp. 303-328, Copyright 1995, "Configuration Transactions."
	60 Sun Microsystems Computer Company, Part No. 802-5355-10, Rev. A, May 1996, "Solstice SyMON User's Guid."
rw	61 Sun Microsystems, Part No. 802-6569-11, Release 1.0.1, November 1996, "Remote Systems Diagnostics Installation & User Guide."

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EXAMINER <i>m</i>	DATE CONSIDERED <i>8/30/99</i>
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